Code	Audit Area	Year Last Audited	Days 2017/18	Days 2018/19	Days 2019/20	Days 2020/21	TOTAL
CORE FI	NANCIAL AUDITS (- Annual)						
CORE 1	Council Tax and NNDR	2016/17	20	20	20	20	80
CORE 2	Income and Cash Collection	2016/17	15	15	15	15	60
CORE 3	Main Accounting System (including Deeds Testing)	2016/17	20	20	20	20	80
CORE 4	Housing Benefits	2016/17	20	20	20	20	80
CORE 5	Creditors	2016/17	10	10	10	10	40
CORE 6	Housing Rents (including rent arrears)	2016/17	20	20	20	20	80
CORE 7	Treasury and Cashflow Management	2016/17	5	5	5	5	20
CORE 8	Payroll Payroll	2016/17	15	15	15	15	60
CORE 9	Recovery	2016/17	15	15	15	15	60
CORE 10	Car Parking Income} alternate years	2016/17		15	10	15	30
CORE 10	Trade Waste }	2015/16	15	10	15	10	30
CORE 11	ICT Core Audit	2016/17	5	5	5	5	20
CORE 11	TOTAL CORE FINANCIAL AUDIT		160	160	160	160	640
	S AUDITS (Risk Based- mainly 4-yearly) sources (Jane Cottrell)						
HUR1	Time Recording System	2014/15		10			10
HUR2	Sickness and Other Time Off	2014/13		10		15	15
HUR3	Recruitment, Selection	2015/17		5		10	5
HUR4	Appraisals and Training	2015/16		3	10		10
HUR5	Travel and Subsistence (incl Pool cars)	2013/16	10		10		10
HUR6	JE	2013/14	10		10		10
HUR7	Corporate Health & Safety incl Homeworking/Loneworking (2yrs)	2015/16		10	10	10	20
		2015/16	10	10		10	
HUR8	Safeguarding		10	0.5	20	0.5	10
Financial S	Human Resources Tot Services & Procurement (Vacancy)	aı	20	25	20	25	90
FIN1	VAT(2-yearly)	2016/17		10		10	20
FIN2	Insurance(2-yearly)	2015/16	10		10		20
FIN3	Leasing and asset management (Vehicles/Equipment/IT)	2014/15		10			10
FIN4	Procurement (2-yearly)	2015/16		20		20	40
FIN5	Contract Register & Contracts (2-yearly)	2014/15	20		20		40
ICT (Liz Re	Financial Services & Procurement Tot	al	30	40	30	30	130
ICT1	Telephones - Fixed and Mobile	2014/15			5		5
ICT2	Computer Inventory - hardware and software	2012/13	10		Ü		10
ICT3	Data Protection & IS (2-yearly)	2016/17	10	10		10	20
ICT4	Freedom of Information	2015/16		10	10	10	10
ICT5	Gazateer Management - Street Naming & Numbering	2014/15		5	10		10
1010		2017/10					
Dia' (1	ICT Tot	al	10	15	15	10	5
	Jenny Clifford)				15		5 50
PLA1	Jenny Clifford) Building Control (incl income and all other areas)	2012/13	10	15	15	10	5 50 20
PLA1 PLA2	Jenny Clifford) Building Control (incl income and all other areas) Development Control	2012/13 2013/14					50 50 20 10
PLA1 PLA2 PLA3	Jenny Clifford) Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas	2012/13 2013/14 2015/16		15	15		50 50 20 10 10
PLA1 PLA2 PLA3 PLA4	Jenny Clifford) Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning	2012/13 2013/14	10	15		10	50 50 20 10 10
PLA1 PLA2 PLA3 PLA4	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement	2012/13 2013/14 2015/16 2013/14	10	10	10	10	50 50 20 10 10 10 20
PLA1 PLA2 PLA3 PLA4 PLA5	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot	2012/13 2013/14 2015/16 2013/14	10	15		10	50 50 20 10 10
PLA1 PLA2 PLA3 PLA4 PLA5	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot	2012/13 2013/14 2015/16 2013/14	10 10 20	10	10	10	55 50 20 10 10 10 20 70
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health	2012/13 2013/14 2015/16 2013/14 al	10	10	10	10 10 20	5 50 20 10 10 20 70
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17	10 10 20	10	10	10	55 50 20 10 10 20 70
PLA1 PLA2 PLA3 PLA4 PLA5	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17	10 10 20	10 10 20	10	10 10 20	55 50 20 10 10 20 70 15 10
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot with Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17	10 10 20	10	10	10 10 20 10	55 50 10 10 10 20 70 15 10 10 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17	10 10 20	10 10 20	10 10 10 10	10 10 20	55 50 10 10 10 20 70 15 10 10 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 al	10 10 20	10 10 20	10	10 10 20 10	55 50 10 10 10 20 70 15 10 10 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15	10 10 20 15	10 10 20 0	10 10 10 10 20	10 10 20 10 20	20 10 10 10 20 70 15 10 10 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar LEI1 LEI2 LEI3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15	10 10 20	10 10 20	10 10 10 10	10 10 20 10	20 10 10 10 20 70 15 10 10 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar LEI1 LEI2 LEI3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Emocratic Services (Jill May)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15 al	10 10 20 15	10 10 20 0	10 10 10 10 20	10 10 20 10 10 20	15 10 10 10 10 10 10 10 10 10 10
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar LEI1 LEI2 LEI3 Legal & De L&D1	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Emocratic Services (Jill May) Members Allowances	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15 al	10 10 20 15 15	10 10 20 0	10 10 10 10 20 20	10 10 20 10 20	20 10 10 10 20 70 15 10 10 35
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar LEI1 LEI2 LEI3 Legal & De L&D1 L&D2	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Emocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly)	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15 al	10 10 20 15 15	10 10 20 0	10 10 10 10 20	10 10 20 10 10 20	20 10 10 10 20 70 15 10 35 20 20 20 20 60
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar LEI1 LEI2 LEI3 Legal & De L&D1 L&D2 L&D3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Emocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly) Electoral Registration & Elections	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15 al 2016/17 2016/17 2016/17	10 10 20 15 15	10 10 20 0	10 10 10 10 20 20	10 10 20 10 20 20	20 10 10 20 70 70 15 10 35 20 20 20 20 10
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar LEI1 LEI2 LEI3 Legal & De L&D1 L&D2 L&D3 L&D3 L&D4	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Permocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly) Electoral Registration & Elections Local Land Charges	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15 al 2016/17 2016/17 2016/17 2016/17	10 10 20 15 15	10 10 20 0	10 10 10 10 20 20	10 10 20 10 10 20	20 10 10 10 20 70 15 10 10 35 20 20 20 60
PLA1 PLA2 PLA3 PLA4 PLA5 Public Hea PHS1 PHS2 PHS3 Leisure (Ar _EI1 _EI2 _EI3 Legal & De _&D1 _&D2 _&D1 _&D2 _&D3	Building Control (incl income and all other areas) Development Control Listed Buildings and Conservation Areas Forward Planning Enforcement Planning Tot Alth Services (Simon Newcombe) Environmental Health Licensing Services Private Sector Housing Public Health Services Tot Indrew Pritchard) one a year Exe Valley Leisure Centre (incl income and all other areas) Culm Valley Sports Centre (incl income and all other areas) Lords Meadow Leisure Centre (incl income and all other areas) Leisure Tot Emocratic Services (Jill May) Members Allowances Gifts & Hospitality/Register of Interests (2 yearly) Electoral Registration & Elections	2012/13 2013/14 2015/16 2013/14 al 2008/09 2016/17 2016/17 2015/16 2014/15 al 2016/17 2016/17 2016/17 2016/17 2016/17 2016/17	10 10 20 15 15	10 10 20 0 20 20	10 10 10 10 20 20	10 10 20 10 20 20 10	2 1 1 1 2 7 7 1 1 1 3 3

SSS2 Vehicles & Fuel (Including inventory & maintenance) 2015/16 20 20 20 20 20 20 20 2	Audit Code	Audit Area	Year Last Audited	Days 2017/18	Days 2018/19	Days 2019/20	Days 2020/21	TOTAL
SSS1								
SSS2 Vehibeles & Fuel (Including Inventory & maintenance) 2015/16 20 20 20 20 20 20 20			_					
SSS3 District Officers 2011/12 10 10 5 5 5 5 5 5 5 5 5	SSS1	Refuse & Recycling (2 yearly)	2016/17		20		20	40
Signature Street Geansing & Public Cleaning Street Scene Total 15	SSS2	Vehicles & Fuel (including inventory & maintenance)	2015/16			20		20
Customer Services (Liz Reeves)	SSS3	District Officers	2011/12	10				10
Customer Services (Liz Reeves)	SSS4	Street Cleansing & Public Cleaning		5				5
CSE1 Customer Care/Complaints		Street Scene Total		15	20	20	20	75
CSE1 Customer Care/Complaints	Customer	Services (Liz Reeves)						
CSE2 Electronic payments/online forms/social media 10 10 10 10 10 10 50 50			2012/13	10				10
Customer Services Total 20 10 10 10 55 55			2012/10		10	10	10	
HPS1 Care Services (Alarm Income)	OOLZ		!					50
HPS1 Care Services (Alarm Income)	Hausing 9	Proporty Carvings (Androys Britishard)						
HPS2			2010/11		1	I		E
HPS3		,		5	00			
Health & Safety Management Arrangements incl Estate Inspections (2- 2014/15					20		4.0	
HPS4 yearhy 2014/15 10 10 20 HPS5 Emergency Planning (also Business Continuity Planning) (2yrs) 2015/16 10 10 20 HPS6 Cometeries & Berraevement Services 2016/17 10 10 HPS7 Grounds Management Arrangements 2016/17 10 10 HPS8 Voids Management Arrangements 2016/17 10 10 HPS9 Lettings 2016/17 10 10 HPS9 Lettings 2016/17 10 10 HPS10 Housing Homeless Persons 2013/14 10 10 10 HPS11 Service Charges 2015/16 10 10 10 HPS12 Standby 2016/17 5 5 5 Housing & Property Services Total 35 30 40 35 140 Economic & Community Development (John Bodley-Scott) CDE1 Grants, subscriptions & donations 2016/17 10 10 10 CDE2 Community Engagement & Consultation 2016/17 10 10 10 CDE3 Community Engagement & Consultation 2014/15 10 10 10 CDE4 Markets 2014/15 10 10 10 40	HPS3		2016/17				10	10
HPS5 Emergency Planning (also Business Continuity Planning) (2yrs) 2015/16 10 10 20 HPS6 Cemeteries & Bereavement Services 2016/17 10 10 HPS7 Grounds Maintenance (Parks & Open Spaces) 2013/14 10 10 HPS8 Voids Management Arrangements 2016/17 10 10 HPS9 Lettings 2016/17 10 10 HPS9 Lettings 2016/17 10 10 HPS10 Housing Homeless Persons 2013/14 10 10 HPS11 Service Charges 2016/16 10 10 HPS12 Standby 2016/17 5 5 5 HPS12 Standby 2016/17 10 10 HPS13 Standby 2016/17 10 10 HPS14 Service Charges 2015/16 10 10 HPS15 Standby 2016/17 10 10 HPS16 Grants, subscriptions & donations 2015/16 10 10 Economic & Community Development (John Bodley-Scott) 10 10 10 CDE1 Grants, subscriptions & donations 2016/17 10 10 10 CDE2 Community Engagement & Consultation 2016/17 10 10 10 CDE3 Economic Regeneration 2014/15 10 10 10 10 Economic & Community Development Total 10 10 10 40 ASSURANCE WORK	LIDO4		0044/45			4.0		00
HPS6 Cemeteries & Bereavement Services 2016/17 10 10 10 10 10 10 10				10	10	10	4.0	
HPS7 Grounds Maintenance (Parks & Open Spaces) 2013/14 10 10 10 10 HPS8 Voids Management Arrangements 2016/17 10 10 10 10 10 HPS9 Lettings 2016/17 10 10 10 10 HPS10 Housing Homeless Persons 2013/14 10 10 10 HPS11 Service Charges 2015/16 10 10 10 HPS12 Standby 2016/17 35 30 40 35 140 Economic & Community Development (John Bodley-Scott)					10		10	
HPS8						10		
HPS9				10				
HPS10		Voids Management Arrangements					10	
HPS11 Service Charges		-				10		
HPS12 Standby	HPS10	Housing Homeless Persons	2013/14	10				
Housing & Property Services Total 35 30 40 35 140 35 140	HPS11	Service Charges	2015/16			10		10
Economic & Community Development (John Bodley-Scott)	HPS12	Standby	2016/17				5	5
CDE1 Grants, subscriptions & donations 2015/16 10 10 10 10 10 10 10		Housing & Property Services Total		35	30	40	35	140
CDE1 Grants, subscriptions & donations 2015/16 10 10 10 10 10 10 10								
CDE2	Economic	& Community Development (John Bodley-Scott)						
CDE3	CDE1	Grants, subscriptions & donations	2015/16			10		10
CDE3	CDE2	Community Engagement & Consultation	2016/17				10	10
CDE4 Markets			2014/15		10			10
SYSTEMS AUDITS TOTAL 190 200 190 210 790		•		10				10
Spar/Data Quality 0 0 0 0 0 0 0 0 0					10	10	10	40
Spar/Data Quality 0 0 0 0 0 0 0 0 0								
Spar/Data Quality 0 0 0 0 0 0 0 0 0		SYSTEMS AUDITS TOTAL		190	200	190	210	790
Spar/Data Quality							- 1	
Assurance Work Total 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ASSURAN		1		0	0	0	0
SUMMARY SUMMARY Available Audit Days Asigner A								0
Fraud/Irregularity/Consultancy/Contingency 45 35 45 25 150		Account of the Control of the Contro			-	J	-	
SUMMARY Available Audit Days 343 345 352 52 </td <td>OTHER W</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	OTHER W							
SUMMARY Available Audit Days 343 343 343 343 Management 52 52 52 52 Core Systems 160 160 160 160 Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 Other Work 45 35 45 25								
Available Audit Days 343 343 343 343 Management 52 52 52 52 Core Systems 160 160 160 160 Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 Other Work 45 35 45 25		Other Work Total		45	35	45	25	150
Available Audit Days 343 343 343 343 Management 52 52 52 52 Core Systems 160 160 160 160 Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 Other Work 45 35 45 25								
Available Audit Days 343 343 343 343 Management 52 52 52 52 Core Systems 160 160 160 160 Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 Other Work 45 35 45 25		SUMMARY						
Management 52 52 52 52 Core Systems 160 160 160 Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 0 Other Work 45 35 45 25				2/12	2/12	2/12	2/12	
Core Systems 160 160 160 Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 Other Work 45 35 45 25								
Systems Audits 190 200 190 210 Assurance Work 0 0 0 0 Other Work 45 35 45 25		=						
Assurance Work 0 0 0 0 Other Work 45 35 45 25								
Other Work 45 35 45 25								
TOTAL 395 395 395								
		TOTAL		395	395	395	395	